

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: Globus Spirits Limited
2	Scrp Code/Name of Scrip/Class of Security: 533104
3	Share Holding Pattern for the quarter ending 30/09/2019 filed under: Reg. 31(1)(b)
	a. If under 31(1)(b) then indicate the report for Quarter ending 30th September 2019
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No
6 Whether the listed entity has issued any differential voting rights?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

For Globus Spirits Limited

 Company Secretary

Globus Spirits Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. (IV)+(V)+(VI)	Shareholding as a % of total shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including diluted share capital)	Shareholding, assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights	Class eg: X	Class eg: Y			Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	11	16082120	0	0	16082120	55.8421	16082120	0	16082120	0	55.8421	0	0.0000	0	0.0000	16082120
(B)	Public	14997	12717148	0	0	12717148	44.1579	12717148	0	12717148	0	44.1579	0	0.0000	0	0.0000	12715184
(C)	Non Promoter - Non Public																
(C1)	Shares Underlying DRS	0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0
	Total	15008	28799268	0	0	28799268	100.0000	28799268	0	28799268	0	100.0000	0	0.0000	0	0.0000	28797304

For Globus Spirits Limited

Pradeep Kumar
Company Secretary

Spirits Limited
(a) - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of shareholders	PAN	Nos. of shares	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of Depository Shares underlying Depository Receipts	Total nos. Shares held (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculate as per SCRR, 1957)	Number of Voting Rights held in each class			No. of Shares Underlying convertible securities (including convertible securities)	Shareholding, as a % assuming full conversion of convertible securities (VII)-(X) As a % of	Number of Locked In shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form
									No of Voting Rights of total	Class eg: y Total	Total as a % of (A+B+C)					
1	Indian															
(a)	Individuals / Hindu Undivided Family		9	4250113	0	0	4250113	14.75771	4250113	0	4250113	13.10752	0	0	0	4250113
	Ajay Kumar Swarup	AGXPS323K	1	1900588	0	0	1900588	6.594	1900588	0	1900588	5.6245	0	0	0	1900588
	Surabhi Bishnoi & Ajay Kumar Swarup (Trustees representing on behalf of M/s Surabhi Family Trust)	AAWTS7519	1	1426860	0	0	1426860	4.9545	1426860	0	1426860	4.9545	0	0	0	1426860
	Anoop Bishnoi	AAIPB504R	1	192960	0	0	192960	0.6700	192960	0	192960	0.7099	0	0	0	192960
	Shekhar Swarup	AYXPS9059C	1	729345	0	0	729345	2.5375	729345	0	729345	1.8572	0	0	0	729345
	Bhupendra Kumar Bishnoi	AAIPB8027G	1	90	0	0	90	0.0003	90	0	90	0.0003	0	0	0	90
	Roshni Bishnoi	AEAPB8037L	1	90	0	0	90	0.0003	90	0	90	0.0003	0	0	0	90
	Madhav Kumar Swarup	AHNPS9493B	1	60	0	0	60	0.0002	60	0	60	0.0002	0	0	0	60
	Madhavi Swarup	AGQPS8086C	1	60	0	0	60	0.0002	60	0	60	0.0002	0	0	0	60
	Saroj Ran Swarup	AGQPS8087D	1	60	0	0	60	0.0002	60	0	60	0.0002	0	0	0	60
(b)	Central Government / State Governments		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0
(d)	Any Other (Specify)		2	11832007	0	0	11832007	41.0844	11832007	0	11832007	42.1257	0	0	0	11832007
	Bodies Corporate		2	11832007	0	0	11832007	41.0844	11832007	0	11832007	42.1257	0	0	0	11832007
	Chandbagh Investments Ltd	AAAC7112W	1	11293153	0	0	11293153	39.2133	11293153	0	11293153	40.2547	0	0	0	11293153
	Globus Infos Private Limited	AA8CG6927E	1	538854	0	0	538854	1.8711	538854	0	538854	1.8711	0	0	0	538854
	Sub Total (A)(1)		11	16082120	0	0	16082120	55.8421	16082120	0	16082120	55.2332	0	0	0	16082120
2	Foreign															
(a)	Individuals (Non-Resident)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0
	Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		11	16082120	0	0	16082120	55.8421	16082120	0	16082120	55.8421	0	0	0	16082120

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

For Globus Spirits Limited
Roopesh Chavan
 Company Secretary

Note:
 (1) PAN would not be displayed on website of Stock Exchange(s)
 (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of Shareholders	PAN	Nos. of sharehold shares	No. of fully paid up equity shares	No. of equity shares held	No. of Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Underlying Shares	Shareholding % assuming full conversion of convertible securities (including securities)	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									Class eg: X	Class eg: Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(a)	(b)	(c)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) = (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)		
1	Institutions																	
(a)	Mutual Fund		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		2	300000	0	0	300000	1.0417	300000	0	300000	0	1.0417	0	0.0000	NA	NA	300000
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		2	159600	0	0	159600	0.5542	159600	0	159600	0	0.5542	0	0.0000	NA	NA	159600
(f)	Financial Institutions / Banks		4	71471	0	0	71471	0.2482	71471	0	71471	0	0.2482	0	0.0000	NA	NA	71471
(g)	Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		8	531071	0	0	531071	1.8440	531071	0	531071	0	1.8440	0	0.0000	NA	NA	531071
	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0
	3 Non-Institutions		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0
(a)	Individuals		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0
	1. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		14012	3791147	0	0	3791147	13.1640	3791147	0	3791147	0	13.1640	0	0.0000	NA	NA	3791147
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		28	1735277	0	0	1735277	6.0254	1735277	0	1735277	0	6.0254	0	0.0000	NA	NA	1735277
(b)	NBFCs registered with RBI		1	200	0	0	200	0.0007	200	0	200	0	0.0007	0	0.0000	NA	NA	200
(c)	Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0
(d)	Overseas Depositor/holding DRs (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0
(e)	Any Other (Specify)		948	6559453	0	0	6559453	23.1237	6559453	0	6559453	0	23.1237	0	0.0000	NA	NA	6559453
	IEF		1	2661	0	0	2661	0.0092	2661	0	2661	0	0.0092	0	0.0000	NA	NA	2661
	Hindu Undivided Family		346	553723	0	0	553723	1.9227	553723	0	553723	0	1.9227	0	0.0000	NA	NA	553723
	Foreign Companies		1	5038168	0	0	5038168	17.4941	5038168	0	5038168	0	17.4941	0	0.0000	NA	NA	5038168
	Templeton Strategic Emerging Markets Fund by Ltd	AACCT2764R	0	5038168	0	0	5038168	17.4941	5038168	0	5038168	0	17.4941	0	0.0000	NA	NA	5038168
	Non Resident Indians (Non Repat)		118	58470	0	0	58470	0.2030	58470	0	58470	0	0.2030	0	0.0000	NA	NA	58470
	Non Resident Indians (Repatri)		237	254973	0	0	254973	0.8853	254973	0	254973	0	0.8853	0	0.0000	NA	NA	254973
	Clearing Member		109	144736	0	0	144736	0.5026	144736	0	144736	0	0.5026	0	0.0000	NA	NA	144736
	Bodies Corporate		136	606722	0	0	606722	2.1067	606722	0	606722	0	2.1067	0	0.0000	NA	NA	606722
	Sub Total (B)(3)		14989	12186077	0	0	12186077	42.3138	12186077	0	12186077	0	42.3138	0	0.0000	NA	NA	12186077
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		14997	12717148	0	0	12717148	44.1579	12717148	0	12717148	0	44.1579	0	0.0000	NA	NA	12715184

Details of the shareholders acting as person in Concert including their Shareholding (No. and %):
 Details of Shares which remain unclaimed may be given near along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:
 (1) PAN would not be displayed on website of Stock Exchange(s)
 (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.


For Globus Spirits Limited

 Company Secretary

Annexure IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category/ Shareholders	PAN	Nos. of sharehold ers	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Sharehold ing as a % of total shares (calculate d as per SCRR, 1957)	Number of Voting Rights held in each class			No. of Shares Underlyin g	Sharehold ing, as a % assuming full conversio n of convertible securities (including securities convertible into equity shares)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialise d form
								No of Voting Rights	Total	Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
1 Custodian/DR Holder																	
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
2 Total Non-Promoter- Non Public Shareholding (C) = (C1)+(C2)																	

Note:
 (1) PAN would not be displayed on website of Stock Exchange(s).
 (2) The above firm needs to disclose name of all holders holding more than 1% of total number of shares
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

For Globus Spirits Limited

 Company Secretary